

LINKAGE OF INFORMATION OR REQUIREMENTS FOR GPRA AND OTHER INITIATIVES

	FMFIA	CFO	GPRA	GMRA	FFMIA	ITMRA	Custo mer	ReGO III	Stake- holders (PPG)	RD&E	A-11	OMB Sum/Fall Review
Strategic Plan		X*	X			X	X		X		X	X
-Goals			X						X			X
-Objectives			X									X
-Strategies(incl Eval)			X						X	X		
Performance Plan		X*	X			X	X	X	X		X	X
-Goals			X			X			X			X
-Objectives			X			X			X			X
-Indic/ Meas			X			X			X			X
-Eval Method			X			X			X	X		
Performance Report		**	X	**		X	X	X	X	X		
Progress toward performance goal			X									
Financial Report		X		X	X	**			**	**		
-Statement		X		X								
-Performance		X	X	X							X	
Mng Acctblty	X	X	X			X			X	X		
Mng Controls	X											
Budget ***		X	X			X			X	X	X	

KEY: * For Financial Management activities only

** Some Financial Information Reported

***Information available/submitted concurrent with Budget

Law/Initiative	Start	Purpose	Major Requirements	To Date	Reports	Related Initiatives
Federal Managers Financial Integrity Act (FMFIA)	1982	Improve the accountability and effectiveness of Federal programs and operations by establishing, assessing, correcting, and reporting on management controls	<ul style="list-style-type: none"> • Ensure program, operational, and administrative obligations and costs comply with laws • Assets are safeguarded from fraud, waste and abuse • Revenues and expenditures are properly recorded and accounted for 	Implemented	<ul style="list-style-type: none"> • Annual report to Congress eliminated in FY 1996 • Dec 31st - annual assurance of adequacy of controls and report on material weaknesses to OMB and President only 	A-123
Chief Financial Officers Act	1990	Improve systems of accounting, financial management and internal controls to reduce waste, and to provide complete, reliable, timely, and consistent information to Congress on the financial status of the Federal Government	<ul style="list-style-type: none"> • Establish an integrated financial management system • Prepare and independently audit financial statements of selected accounts • Create a CFO in each agency 	Implemented	<ul style="list-style-type: none"> • Mar 1, annual financial statements • June 30, audited financial statement reports • September, annual 5-year plan. 	OMB Bulletin 97-01
Government Performance and Results Act (GPRA)	1993	Improve the confidence of the American people by holding Federal agencies accountable for setting program goals and achieving program results	<ul style="list-style-type: none"> • Develop strategic plans, performance plans for program activities • Set performance targets • Compare actual performance to target outcomes in an annual report 	<ul style="list-style-type: none"> • Draft strategic plan - June, 1996 • FY 98 budget instructions • Preliminary performance plans in the FY 98 budget. • HHS pilot GPRA projects • HHS GPRA case studies 	<ul style="list-style-type: none"> • Strategic plans September 30, 1997 (due to OMB by mid-August) • Annual performance plans- September, 1997 • Annual performance reports to Congress March 31, 2000 	CFO Act, GMRA, OMB Summer and Fall Review, A-11, Part 2 & 3, ITMRA .
Government Management Reform Act (GMRA)	1994	<p>Improve the efficiency, effectiveness and responsiveness of government.</p> <p>Expand the CFO Act to support more informed spending decisions.</p>	<ul style="list-style-type: none"> • Audited financial statements for all accounts • Government-wide audited financial statement 		Annual audited financial statement report to OMB by Mar 1, 1997	FASAB standards, GPRA, OMB Bulletin 97-01

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Information Technology Management Reform Act (ITMRA)	1996	Efficient and effective acquisition and use of modern information technology	<ul style="list-style-type: none"> Establishes Chief Information Officer (CIO) Increases accountability of agencies for delivery of services and support of mission through capital planning and investment control, Include IRM in GPRA: strategic planning, performance planning, and performance measurement Information systems provide financial or performance data for financial statements 		Annual report in budget submission on how IT helped programs achieve their performance goals.	GPRA; A-11, part 3; Paperwork Reduction Act of 1995
Federal Financial Management Improvement Act (FFMIA)	1996	<p>Increase accountability by implementing financial accounting standards in financial management systems</p> <p>Increase the capability of agencies to monitor execution of the budget by more readily permitting reports that compare spending of resources to results of activities</p>	<ul style="list-style-type: none"> FY 97 - financial systems must report the full costs of programs and activities in compliance with FASAB standards and the Standard General Ledger Audits of financial statements must also include an opinion of financial system compliance (July-September 30, 1997) 		<ul style="list-style-type: none"> Agency head reports on financial system compliance NLT 120 days after the earlier of the date of receipt of an agency-wide audited financial statement, or the last day of the fiscal year following the year covered by the statement. OMB reports on implementation to Congress by March 31st annually. IG reports on compliance to Congress GAO reports to Congress on Oct 1, 1997 and annually 	CFO Act, GPRA, GMRA

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Customer Service EO 12862	1993	Provide service to the public that matches or exceeds the best service available in the private sector	<ul style="list-style-type: none"> Establish and implement customer service standards Establish customer service plans, and surveys which will be used in judging agency performance and in making resource allocations Apply customer service standards in performance measures and benchmark performance 	Implemented (1994)	customer surveys	
REGO III NPR	1996	Govern in a balanced budget world by converting to a performance-based government	<ul style="list-style-type: none"> Convert agencies that provide measurable public services to performance-based organizations. Improve customer service dramatically (incorporate customer service -related performance measures for programs). Increase use of regulator partnerships. Create performance-based partnership grants Establish single points of contact for communities. Transform the federal workforce (Quality of Work Life initiative) 		Annual NPR Report submission in August	HHS customer service initiative, performance partnership grants, performance-based organization pilot.
BUDGET -A-11		Annual OMB budget instructions to the agencies	<ul style="list-style-type: none"> To provide detailed guidance on the preparation and content of the agency budget submissions 	<ul style="list-style-type: none"> On-going 	June - OPDIV Budget submissions to HHS July - Budget Review Board September - HHS Budget Submission to OMB November - OMB Passback January-February - Administration Budget Submission to Congress	GPRA, ITMRax

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A-11 Part 2	1996-97	OMB guidelines for implementation of GPRA.	<ul style="list-style-type: none"> Agencies are to comply with strategic plan, performance plan, performance measure, and program aggregation/consolidation requirements plus linkage with the budget (current version covers initial strategic plans; revision will be made to include performance plans guidance) 	<ul style="list-style-type: none"> draft strategic plan of June, 1996 FY 98 budget instructions preliminary performance plans in the FY 98 budget. HHS pilot GPRA projects HHS GPRA case studies 	Annual Budget submissions (starting FY 1999), OMB Spring Review and monthly updates per OMB FY 98 passback	OMB Memo 96-22, Supplement 1 and agency passback letters; Accountability Report
Part 3	1996	OMB guidelines for better management and performance of fixed assets.	<ul style="list-style-type: none"> Program/acquisition planning for fixed assets Identify baseline cost, schedule and technical goals, consistent with program strategic and performance goals and evaluate performance (suggested technique: earned value) Report on plans and performance for major, mission critical fixed assets in the budget 	FDA, IHS, and NIH submitted in FY 98	Information Technology assets will also be subject to review in FY 98 budget (other assets may be added in FY 99 budget submission)	Federal Acquisition Streamlining Act, ITMRA, Budget Exhibits 300A and B, OMB Capital Programming Guide, OMB memo of 10/25/96 concerning IT fixed assets
OMB Summer Review	July 96				draft strategic plans	
OMB Fall Review	Nov-Dec 96				performance goals and indicators for program activities for FY 1999	
OMB Spring Review	Mar-Apr 96				strategic plan status and commitment to measurable improvements for management objectives	

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RD&E					R&D plans -prior to the President's Budget (OPDIVs submit in September) Evaluation report - spring	
Quality of Work Life	FY 97-98	Improve the performance of the work force by improving employee satisfaction, workplace learning, and managing change	HHS initiative Dec 97 Short term initiatives for FY 98 and beyond- others	OPDIV must develop strategies.	Annual employee survey Partnership Council annual report to Secy on quality of HHS work life.	GPRA, Customer Service